## RECEIVED CENTRAL FAX (1585 Erpadway New York NY 10036-8299 Telephone 212.969.3000 0 6 Eax 212 969 2900

Voice No.:

703.306.5715

LOS ANGELES WASHINGTON BOSTON BOCA RATON NEWARK NEW ORLEANS PARIS

## PROSKAUER ROSE LLP

Fax Transmittal Date January 6, 2005 Client-Matter -74577-052 **Total Pages (Including Cover)** 15 From Abigail F. Cousins Sender's Voice Number 212.969.3314 Sender's Room Number 17-24 Sender's Email Address acousins@proskauer.com Main Fax Number 212.969.2900 To: Examiner: Lalita M. Hamilton (Art Unit: 3624) Fax No.: 703.872.9306

Company: Message

Re:

Inventor

Stuart J. CLENAGHAN, et al.

Serial No.

09/750,417

Filing Date

December 27, 2000

Title

METHOD AND APPARATUS FOR SELLING FINANCIAL

INSTRUMENTS

Att'y Docket

74577-052

Attached please find the following:

AMENDMENT AND ELECTION 1)

United States Patent and Trademark Office

Please contact John C. Stellabotte at (212) 969-3413

## FACSUMILE TRANSMISSION CERTIFICATE

I hereby certify that these papers are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Abigail F. Cousins

Name of person signing the certification

Signature

Date

January 6, 2005

Confidentiality Note: This message is confidential and Intended only for the use of the addressee(s) named above. It may contain legally privileged material. Dissemination, distribution or copying of this message, other than by such addressee(s), is strictly prohibited. If you have received this message in error, please immediately notify us by telephone and return the original to us at the address above. We will reimburse you for the cost of the telephone call and postage. Thank you,

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED CENTRAL FAX CENTER JAN 0 6 2005

Group Art Unit 3624

Application of:

Stuart J. CLENAGHAN, ET AL.

Filed:

December 27, 2000

Serial No.

09/750,417

Title

METHOD AND APPARATUS FOR

SELLING FINANCIAL INSTRUMENTS

**AMENDMENT** 

AND ELECTION

Sir:

In response to the Office Action dated December 9, 2004, setting a one month term for response, please amend the above-identified patent application as follows:

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 14 of this paper.